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TECH CENTER 1600/2900

PATENT  
600-1-081 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: STEINMAN ET AL.

SERIAL NO. : 09/586,704

EXAMINER : R. SCHWADRON

FILED : JUNE 5, 2000

ART UNIT : 1644

FOR : IDENTIFICATION OF DEC, A RECEPTOR WITH C-TYPE LECTIN  
DOMAINS, NUCLEIC ACIDS ENCODING DEC, AND USES

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on April 19, 2001.

Michael A. Yamin, Reg. No. 44,414  
(Name of Registered Rep.)

Betty Schutt 4/19/01  
(Signature and Date)

**STATEMENT IN SUPPORT OF THE FILING/SUBMISSION OF A  
NUCLEOTIDE/AMINO ACID SEQUENCE LISTING IN  
ACCORDANCE WITH 37 CFR §1.821 - 1.825**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

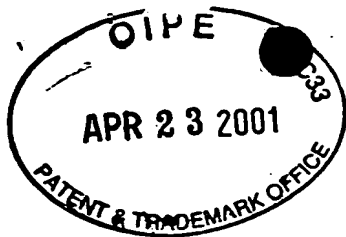
MICHAEL A. YAMIN, agent of record, hereby states as follows:

1. I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

2. I hereby state that the submission, filed in accordance with 37 CFR §1.821(g) herein does not include new matter.

DATED: April 19, 2001

MICHAEL A. YAMIN



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REQUEST FOR TRANSFER OF COMPUTER READABLE FORM

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

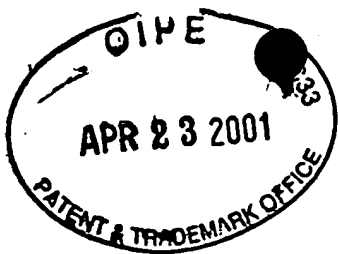
Applicants request that the previously-submitted sequence information filed in computer readable form in the now-abandoned parent, Application Number 08/381,528, be used in the present Application. This sequence information was the only computer readable form filed in that Application.

Respectfully submitted,

MICHAEL A. YAMIN  
Agent for Applicant(s)  
Registration No. 44,414

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800

Date: April 19, 2001



Application No.: 09/586704

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

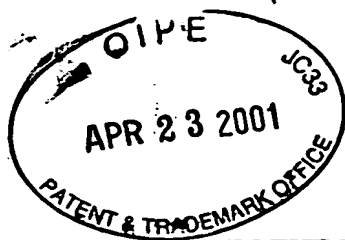
For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**



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Michael A. Yamin, Reg. No. 44,414  
(Name of Registered Rep.)

Betty Schultz 4/19/01  
(Signature and Date)

RESPONSE TO NOTICE TO COMPLY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

In Response to the "Notice to Comply" due April 26, 2001, Applicants enclose a paper copy of the Sequence Listing along with

1. Statement in Support of The Filing/Submission of a Nucleotide/Amino Acid Sequence Listing in Accordance With 37 CFR §1.821 - 1.825;
2. Request for Transfer of Computer Readable Form, and
3. a copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures.

No additional fees are believed to be necessitated by the foregoing amendments.

However, should this be erroneous, authorization is hereby given to charge Deposit Account No. 11-1153 for any underpayment, or credit any overages.

DATED: April 19, 2001

Michael A. Yamin

MICHAEL A. YAMIN